

Category theory course  
Lecture 4  
ITI9200, Spring 2020

Fosco Loregian  
fouche@yoneda.ninja

February 20, 2020

## Contents

<b>1</b>	<b>Functors</b>	<b>1</b>
1.1	Morphisms of categories . . . . .	2
1.2	Examples of functors . . . . .	3
1.3	Diagrams or: functors as pictures . . . . .	4
1.4	General definition of limits and colimits . . . . .	7
1.5	Examples of limits and colimits . . . . .	9

## 1 Functors

Category theory has a twofold nature; on one hand, it consists of a systematic study of the rules to generate and taxonomise mathematical objects; on the other hand, it is just another kind of algebraic structure. But then, by this very second reason, and more precisely because for every algebraic structure there is a category of all structures of that sort, there is a category of categories.

*Functors* are the morphisms of the category of categories, i.e. maps whose defining property is to preserve the category operations: objects go to objects, morphisms to morphisms, and the composition, as well as the identity are preserved.

## 1.1 Morphisms of categories

**Definition 1** (Functor). Let  $\mathcal{C}$  and  $\mathcal{D}$  be two categories; we define a functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  as a pair  $(F_0, F_1)$  consisting of the following data:

- $F_0$  is a function  $\mathcal{C}_o \rightarrow \mathcal{D}_o$  sending an object  $C \in \mathcal{C}_o$  to an object  $FC \in \mathcal{D}_o$ ;
- $F_1$  is a family of functions  $F_{AB} : \mathcal{C}(A, B) \rightarrow \mathcal{D}(FA, FB)$ , one for each pair of objects  $A, B \in \mathcal{C}_o$ , sending each arrow  $f : A \rightarrow B$  into an arrow  $Ff : FA \rightarrow FB$ , and such that:
  - $F_{AA}(1_A) = 1_{FA}$ ;
  - $F_{AC}(g \circ_C f) = F_{BC}(g) \circ_{\mathcal{D}} F_{AB}(f)$ .

In Haskell, functors are a fundamental construct:

```
-- | All instances of the `Functor` type-class must satisfy two laws.
-- These laws are not checked by the compiler.
--
-- * The law of identity
-- `forall x. (id <$> x) ~ x`
--
-- * The law of composition
-- `forall f g x.(f . g <$> x) ~ (f <$> (g <$> x))`
class Functor k where
-- Pronounced, eff-map.
(<$>) :: (a -> b) -> k a -> k b
```

If  $F : \mathcal{C} \rightarrow \mathcal{D}$  is a functor in category theory, it corresponds to functor in Haskell, having a data constructor  $f :: * \rightarrow *$  (this is the  $F_0$  part, a *function on objects* from the collection of object  $\mathcal{C}_o$  of  $\mathcal{C}$  to the collection of objects  $\mathcal{D}_o$ <sup>1</sup>) and a *function on morphisms*

```
<$> :: (a -> b) -> f a -> f b
```

If you recall how the associativity of  $\rightarrow$  works, it is evident that this is a function from the type of maps  $a \rightarrow b$  to the type of maps  $f a \rightarrow f b$ ; or rather, this is a function that given a function  $u :: a \rightarrow b$ , and an “element” of type  $f a$ , yields an element  $x :: f b$ .

**Remark 1.** *There is a category whose objects are (small) categories, and whose morphisms  $\mathcal{C} \rightarrow \mathcal{D}$  are functors. The identity functor  $1_{\mathcal{C}} : \mathcal{C} \rightarrow \mathcal{C}$  of*

<sup>1</sup>Although in Haskell,  $\mathcal{C} = \mathcal{D}$  is always the same nameless category  $*$ .

a category  $\mathcal{C}$ , and the composition  $G \circ F : \mathcal{A} \xrightarrow{F} \mathcal{B} \xrightarrow{G} \mathcal{C}$  of two functors are defined in the obvious way; all category axioms follow.<sup>2</sup>

## 1.2 Examples of functors

As you can imagine, both Haskell and mathematics are crawling with examples of functors;

**Example 1** (Examples of functors).

1. *Let's rule out a few edge examples: for every category  $\mathcal{C}$ , there is a unique functor  $F : \emptyset \rightarrow \mathcal{C}$ , where  $\emptyset$  is the empty category with no objects and no morphisms; for every category  $\mathcal{C}$ , there is an obvious definition of the identity functor  $1_{\mathcal{C}} : \mathcal{C} \rightarrow \mathcal{C}$ , whose correspondences on objects and on arrows  $1_{\mathcal{C},AB} : \mathcal{C}(A,B) \rightarrow \mathcal{C}(A,B)$  are all identity functions.*
2. *As we have hinted in the preceding lesson, all correspondences that forget a structure, yielding for example the underlying set  $UM$  of a thingum  $M$ , are functors  $\text{Thng} \rightarrow \text{Set}$  from their structured categories of definition to the category of sets and functions.*
3. *All correspondences that regard a structure as an example of another are -more or less tautological- examples of functors: a monoid can be seen as a one-object category, and this yields a functor  $\mathbf{B} : \text{Mon} \rightarrow \text{Cat}$  because a functor  $F : \mathbf{B}M \rightarrow \mathbf{B}N$  between monoids is but a monoid homomorphism  $f : M \rightarrow N$ ; a preset can be seen as a category with at most one arrow between any two objects, and this defines a functor  $\times(\_) : \text{Pres} \rightarrow \text{Cat}$ , because a functor  $F : \times P \rightarrow \times Q$  between presets is but a monotone function  $f : P \rightarrow Q$ ; a set can be seen as a discrete topological space  $A^\delta$  or as a category having only identity arrows, and these are functors  $\text{Set} \rightarrow \text{Top}, \text{Cat}$ . (Continue the list at your will.)*
4. *Let  $M$  be a monoid, and  $\Delta$  be the category having objects nonempty, finite, totally ordered sets and monotone functions as morphisms. The correspondence that sends  $[n] \in \Delta$  to the set  $M^{[n]}$  of ordered  $n$ -tuples  $[a_1 | \dots | a_n]$  of elements of  $M$  and a monotone function  $f : [m] \rightarrow [n]$  to the function  $M^f : M^{[m]} \rightarrow M^{[n]}$  defined by*

$$M^f[a_1 | \dots | a_m] = [a_{f1} | \dots | a_{fn}]$$

---

<sup>2</sup>The reader might now wonder if there is a category of Haskell types; unfortunately there is no such thing, but better languages have a better behaviour in this respect (for example [Agda](#) or [Idris](#)).

is a functor  $\Delta^{op} \rightarrow \mathbf{Set}$ . This functor is called the classifying space of the monoid.

5. Let  $\mathbf{Set}_*$  be the category of pointed sets: objects are pairs  $(A, a)$  where  $a \in A$  is a distinguished element, and a morphism  $f : (A, a) \rightarrow (B, b)$  is a function  $f : A \rightarrow B$  such that  $fa = b$ . It is easy to prove that this category is isomorphic to the coslice  $*/\mathbf{Set}$  of functions  $a : * \rightarrow A$ .
6. Let  $\partial\mathbf{Set}$  be the category so defined: objects are sets, and a morphism  $(f, S) : A \rightarrow B$  is a pair  $(S \subseteq A, f : S \rightarrow B)$ . This is called a partial function from  $A$  to  $B$ . It is evident how to define the composition of morphisms, and the identity arrow of  $A \in \partial\mathbf{Set}$ . There is a functor  $(\_)_{\bullet} : \partial\mathbf{Set} \rightarrow \mathbf{Set}_*$  defined as  $A \mapsto (A \sqcup \{\infty\}, \infty)$ , where  $\infty$  is an element that does not belong to  $A$ , and a function  $(f, S)$  goes to  $(f, S)_{\bullet} : A \sqcup \{\infty_A\} \rightarrow B \sqcup \{\infty_B\}$ , defined sending  $S^c \cup \{\infty_A\}$  to  $\{\infty_B\}$ .
7. Every directed graph  $\underline{G}$ , with set of vertices  $V$  and set of edges  $E$ , defines a quotient set of  $V$  by the equivalence relation  $\approx$  generated by the subset of those  $(A, B)$  for which there is an arrow  $A \rightarrow B$ ; the symmetric and transitive closure of this relation yields a quotient  $V/R$  that is usually denoted as the set of connected components  $\pi_0(\underline{G})$ . This is a functor  $\mathbf{Gph} \rightarrow \mathbf{Set}$ , and if we now regard a category  $\mathcal{C}$  as a mere directed graph we obtain a well-defined set  $\pi_0(\mathcal{C})$  of connected components of a category.

### 1.3 Diagrams or: functors as pictures

Most of category theory is based on the intuition that a diagram of a certain shape in a category  $\mathcal{C}$  is a functor  $F : \mathcal{J} \rightarrow \mathcal{C}$ ; the definition of a diagram itself is engineered to blur the distinction between a functor as a morphism of categories, and a functor as a correspondence that “pictures” a small category  $\mathcal{J}$  inside a big category  $\mathcal{C}$ . After having recalled the definition of diagram, and the definition of commutative diagram, we collect a series of examples to convince the reader that this identification of “diagrams as pictures” is fruitful and suggestive.

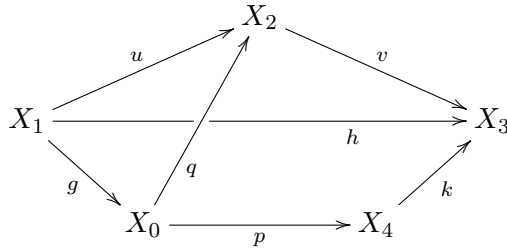
The recommended soundtrack while reading this section is, of course, Emerson, Lake & Palmer’s *Pictures at an Exhibition*.

#### 1.3.1 Diagrams, formally

Arrangements of objects and arrows in a category are called *diagrams*; to some extent, category theory is the art of making diagrams *commute*, i.e.

the art of proving that two paths  $X \rightarrow A_1 \rightarrow A_2 \rightarrow \cdots \rightarrow A_n \rightarrow Y$  and  $X \rightarrow B_1 \rightarrow \cdots \rightarrow B_m \rightarrow Y$  result in the same arrow when they are fully composed.

**Remark 2** (Diagrams and their commutation). *Depicting morphisms as arrows allows to draw regions of a given category  $\mathcal{C}$  as parts of a (possibly non planar) graph; we call a diagram such a region in  $\mathcal{C}$ , the graph whose vertices are objects of  $\mathcal{C}$  and whose edges are morphisms of suitable domains and codomains. For example, we can consider the diagram*



The presence of a composition rule in  $\mathcal{C}$  entails that we can meaningfully compose paths  $[u_0, \dots, u_n]$  of morphisms of  $\mathcal{C}$ . In particular, we can consider diagrams having distinct paths between a fixed source and a fixed ‘sink’ (say, in the diagram above, we can consider two different paths  $\mathfrak{P} = [k, p, g]$  and  $\mathfrak{Q} = [v, u]$ ); both paths go from  $X_1$  to  $X_3$ , and we can ask the two compositions  $\circ[k, \circ[p, g]] = k \circ (p \circ g)$  and  $v \circ u$  to be the same arrow  $X_1 \rightarrow X_3$ ; we say that a diagram commutes at  $\mathfrak{P}, \mathfrak{Q}$  if this is the case; we say that a diagram commutes (without mention of  $\mathfrak{P}, \mathfrak{Q}$ ) if it commutes for every choice of paths for which this is meaningful.

Searching a formalisation of this intuitive pictorial idea leads to the following:

**Definition 2.** A diagram is a map of directed graphs (‘digraphs’)  $D : J \rightarrow \mathcal{C}$  where  $J$  is a digraph and  $\mathcal{C}$  is the digraph underlying a category.<sup>3</sup> Such a diagram  $D$  commutes if for every pair of parallel edges  $f, g : i \rightrightarrows j$  in  $J$  one has  $Df = Dg$ .

<sup>3</sup>Every small category has an underlying graph, obtained keeping objects and arrows and forgetting all compositions; there is of course a category of graphs, and regarding a category as a graph is another example of forgetful functor. Of course, making this precise means that the collection of categories and functors form a category on its own right.

### 1.3.2 Diagrams, everywhere

- An object is a diagram: the terminal object in the category of categories is the category

$$[0] = \left\{ \bullet \begin{array}{c} \circlearrowright \\ \circlearrowleft \end{array} 1 \right\}$$

A functor  $C : \mathbf{1} \rightarrow \mathcal{C}$  consists of an object of  $\mathcal{C}$ .

- An arrow is a diagram: let

$$[1] = \left\{ 0 \longrightarrow 1 \right\}$$

be the category with two objects  $0, 1$  and a single nonidentity morphism  $0 \rightarrow 1$ . A functor  $f : \mathbf{2} \rightarrow \mathcal{C}$  consists of two objects  $X_i = f(i)$  for  $i = 0, 1$ , and a morphism  $X_0 \rightarrow X_1$ .

- A chain is a diagram: let  $n > 2$  be a positive integer. Let

$$[n] = \left\{ 0 \longrightarrow 1 \longrightarrow \cdots \longrightarrow n \right\}$$

be the category with exactly  $n + 1$  objects and exactly one nonidentity arrow  $j - 1 \rightarrow j$  for  $j = 1, \dots, n$ . A functor  $c : [n] \rightarrow \mathcal{C}$  consists of a tuple of objects  $X_0 \rightarrow X_1 \rightarrow \cdots \rightarrow X_n$ , and morphisms  $X_{j-1} \rightarrow X_j$  for  $j = 1, \dots, n$ .

- A span is a diagram: let

$$\mathcal{S} = \left\{ \begin{array}{ccc} & 0 & \\ \swarrow & & \searrow \\ 1 & & 2 \end{array} \right\}$$

be the category with three objects and arrows as depicted. A functor  $F : \mathcal{S} \rightarrow \mathcal{C}$  is a diagram of the same shape, labeled in the same way. . .

So, you get the idea. Define a *cospan* in  $\mathcal{C}$  to be a functor  $F$  with domain the opposite category  $\mathcal{S}^{\text{op}}$ .

- A commutative square is a diagram: let

$$\begin{array}{ccc} (00) & \longrightarrow & (10) \\ \downarrow & & \downarrow \\ (01) & \longrightarrow & (11) \end{array}$$

be the category with four objects and nonidentity morphisms forming a commutative square. A functor  $F$  with this domain, and values in  $\mathcal{C}$ , consists of a commutative square of objects in  $\mathcal{C}$ .

- In a similar fashion, a commutative cube is a diagram: a cube is a morphism between the square of sources and the square of targets. Draw a picture, and formalise this statement (what is the identity cube of a square? How do you compose cubes?).
- A diagram is a diagram is a diagram, . . . , is a diagram: generalise to  $n$ -dimensional cubes.

### 1.4 General definition of limits and colimits

**Definition 3** (Cone completions of  $\mathcal{J}$ ). Let  $\mathcal{J}$  be a small category; we denote  $\mathcal{J}^\triangleright$  the category obtained adding to  $\mathcal{J}$  a single terminal object  $\infty$ ; more in detail,  $\mathcal{J}^\triangleright$  has objects  $\mathcal{J}_o \cup \{\infty\}$ , where  $\infty \notin \mathcal{J}$ , and it is defined by

$$\begin{aligned}\mathcal{J}^\triangleright(J, J') &= \mathcal{J}(J, J') \\ \mathcal{J}^\triangleright(J, \infty) &= \{*\}\end{aligned}$$

and it is empty otherwise. This category is called the right cone of  $\mathcal{J}$ .

Dually, we define a category  $\mathcal{J}^\triangleleft$ , the left cone of  $\mathcal{J}$ , as the category obtained adding to  $\mathcal{J}$  a single initial object  $-\infty$ ; this means that  $\mathcal{J}^\triangleleft(J, J') = \mathcal{J}(J, J')$ ,  $\mathcal{J}^\triangleleft(-\infty, J) = \{*\}$ , and it is empty otherwise.

**Example 2.** Let  $\omega$  be the ordinal number obtained from the union of all finite ordinals; then, when regarded as a category,  $\omega^\triangleleft$  is the category  $\{-\infty \rightarrow 0 \rightarrow 1 \rightarrow \dots\}$  (and thus is isomorphic to  $\omega$  in an evident way), whereas  $\omega^\triangleright$  is  $\omega + 1$ , in the sense of ordinal sum.

For those willing to embark in an extra exercise: what is  $G^\triangleright$  if  $G$  is a monoid regarded as a category?

**Remark 3.** The correspondences  $\mathcal{J} \mapsto \mathcal{J}^\triangleright$  and  $\mathcal{J} \mapsto \mathcal{J}^\triangleleft$  are functorial. As an easy exercise, define them on morphisms and prove their functoriality. Prove also that there is a natural embedding  $i_\triangleright$  of  $\mathcal{J}$  into  $\mathcal{J}^\triangleright$  and one  $i_\triangleleft$  of  $\mathcal{J}$  into  $\mathcal{J}^\triangleleft$  (that we invariably denote  $i$  in the following discussion).

**Definition 4** (Cone of a diagram). Let  $\mathcal{J}$  be a small category,  $\mathcal{C}$  a category, and  $D : \mathcal{J} \rightarrow \mathcal{C}$  a functor; all along this section, an idiosyncratic way to refer to  $D$  will be as a diagram of shape  $\mathcal{J}$ . We call a cone for  $D$  any extension of the diagram  $D$  to the left cone category of  $\mathcal{J}$  defined in , so that the diagram

$$\begin{array}{ccc} \mathcal{J} & \xrightarrow{D} & \mathcal{C} \\ i_\triangleleft \downarrow & \nearrow \bar{D} & \\ \mathcal{J}^\triangleleft & & \end{array}$$

commutes.

Every such extension is thus forced to coincide with  $D$  on all objects in  $\mathcal{J} \subseteq \mathcal{J}^\triangleleft$ ; the value of  $\bar{D}$  on  $-\infty$  is called the base of the cone; dually, the value of an extension of  $D$  to  $\mathcal{J}^\triangleright$  coincides with  $D$  on  $\mathcal{J} \subseteq \mathcal{J}^\triangleright$ , and  $\bar{D}(\infty)$  is called the *tip* of the cone.

There is of course a similar definition of a *cocone* for  $D$ : it is an extension of the diagram  $D$  to the right cone category of  $\mathcal{J}$  so that the diagram

$$\begin{array}{ccc} \mathcal{J} & \xrightarrow{D} & \mathcal{C} \\ i_\triangleright \downarrow & \nearrow \bar{D} & \\ \mathcal{J}^\triangleright & & \end{array}$$

commutes. Exercise sheds a light on and it substantiates the quote from [?] therein: cones for  $D$  are exactly cocones for the opposite functor  $D^{op}$ .

**Remark 4.**

- The class of cones for  $D$  forms a category  $Cn(D)$ , whose morphisms are the natural transformations  $\alpha : D' \Rightarrow D'' : \mathcal{J} \rightarrow \mathcal{C}$  such that the right whiskering of  $\alpha$  with  $i : \mathcal{J} \rightarrow \mathcal{J}^\triangleleft$  coincides with the identity natural transformation of  $D$ ; this means that a morphism  $\alpha$  of this sort is a natural transformation such that

$$\begin{array}{ccc} & \mathcal{J} & \\ i \swarrow & & \searrow D \\ \mathcal{J}^\triangleleft & \xrightarrow{D'} & \mathcal{C} \\ \downarrow \alpha & & \\ \mathcal{J}^\triangleleft & \xrightarrow{D''} & \mathcal{C} \end{array} = 1_D$$

as a 2-cell  $D \Rightarrow D$ .

- Dually, the class of cocones for  $D$  forms a category  $Ccn(D)$ , whose morphisms are the natural transformations  $\alpha : D' \Rightarrow D'' : \mathcal{J} \rightarrow \mathcal{C}$  such that the right whiskering of  $\alpha$  with  $i : \mathcal{J} \rightarrow \mathcal{J}^\triangleright$  coincides with the identity natural transformation of  $D$ ; this means that a morphism  $\alpha$  of this sort is a natural transformation such that

$$\begin{array}{ccc} & \mathcal{J} & \\ i \swarrow & & \searrow D \\ \mathcal{J}^\triangleright & \xrightarrow{D'} & \mathcal{C} \\ \downarrow \alpha & & \\ \mathcal{J}^\triangleright & \xrightarrow{D''} & \mathcal{C} \end{array} = 1_D$$

as a 2-cell  $D \Rightarrow D$ .



**Definition 5** (Colimit, limit). *The limit of a diagram  $D : \mathcal{J} \rightarrow \mathcal{C}$  is the terminal object denoted  $\lim_{\mathcal{J}} D$  in the category of cones for  $D$ ; dually, the colimit of  $D$  is the initial object denoted  $\operatorname{colim}_{\mathcal{J}} D$  in the category of cocones for  $D$ .*

It is a good idea to unwind definitions and in order to obtain the more classically explained notion of limit and colimit: the key for this unwinding operation is that a co/cone for  $D : \mathcal{J} \rightarrow \mathcal{C}$  amounts to a natural family of maps from a constant object (the base) or to a constant object (the tip).

- A *cone* for a diagram  $D : \mathcal{J} \rightarrow \mathcal{C}$  is a natural transformation from a constant functor  $\Delta_c : \mathcal{J} \rightarrow \mathcal{C}$  to  $D(\_)$ ;
- there is a category of cones for  $D(\_)$ , where morphisms between a cone  $c \rightarrow D(\_)$  and a cone  $C' \rightarrow D(\_)$  are arrows  $k : C \rightarrow C'$  such that the diagram

$$\begin{array}{ccc}
 DI & & \\
 \downarrow D\phi & \swarrow l_I & \nearrow l'_I \\
 & C & \xrightarrow{k} C' \\
 & \searrow l_J & \nwarrow l'_J \\
 DJ & & 
 \end{array}$$

is commutative;

- a limit for  $D$  is a terminal object in the category of cones for  $D$ . This means that given a cone for  $D$ , there is a unique arrow  $k$  which is a morphism of cones.

Of course, a straightforward dualisation yields the definition of a cocone, and a colimit for  $D$ .

## 1.5 Examples of limits and colimits

We start with a classical edge example: a terminal object is the limit of the empty diagram.

**Example 3.** *Let  $J = \emptyset$  be the empty set; the limit of the unique diagram  $D : \mathcal{J} \rightarrow \mathcal{C}$  is the terminal object of  $\mathcal{C}$ .*

*The universal property exhibited by the terminal object  $*$  of  $\mathcal{C}$  is the following: there is a unique morphism  $C \rightarrow *$  for every  $C \in \mathcal{C}$  (with no other condition, since  $\mathcal{J}$  is empty).*

**Example 4 (Product).** Let  $\mathcal{J}$  be a set, and  $\{X_i \mid i \in \mathcal{J}\}$  a family of objects of a category  $\mathcal{C}$ ; the product of the  $\{X_i\}$ 's, denoted  $\prod_{i \in \mathcal{J}} X_i$ , is the limit of the diagram  $D : \mathcal{J} \rightarrow \mathcal{C}$ , when the set  $\mathcal{J}$  is regarded as a discrete category.

The universal property exhibited by the object  $\prod_{i \in \mathcal{J}} X_i$  is the following: there is a cone  $\bar{p} = \{p_i : \prod X_j \rightarrow X_i \mid i \in \mathcal{J}\}$  such that ( $\bar{p}(-\infty) = \prod X_j$  and) for every other cone  $\{f_i : E \rightarrow X_i \mid i \in \mathcal{J}\}$  there exists a unique dotted  $\bar{f} : E \rightarrow \prod_{i \in \mathcal{J}} X_i$  such that

$$\begin{array}{ccc} E & & \\ \bar{f} \downarrow & \searrow f_{\bar{i}} & \\ \prod X_i & \xrightarrow{p_{\bar{i}}} & X_{\bar{i}} \end{array}$$

commutes for every  $\bar{i} \in \mathcal{J}$ .

**Example 5 (Pullback).** Let  $\mathcal{J}$  be the category  $0 \rightarrow 2 \leftarrow 1$ , and  $\{X_0 \rightarrow X_2 \leftarrow X_1\}$  the corresponding diagram  $X : \mathcal{J} \rightarrow \mathcal{C}$ ; the pullback of the diagram  $X$ , denoted  $X_0 \times_{X_2} X_1$ , is the limit of  $X$ ; the universal property exhibited by the object  $X_0 \times_{X_2} X_1$  is the following: there is a cone  $X_0 \xleftarrow{p_0} X_0 \times_{X_2} X_1 \xrightarrow{p_1} X_1$  such that for every other cone  $X_0 \xleftarrow{f_0} E \xrightarrow{f_1} X_1$  there exists a unique dotted  $\langle f_0, f_1 \rangle$  such that

$$\begin{array}{ccccc} E & & & & \\ & \searrow & & & \\ & & X_0 \times_{X_2} X_1 & \xrightarrow{p_0} & X_0 \\ & \searrow & \downarrow p_1 & & \downarrow q_{02} \\ & & X_1 & \xrightarrow{q_{12}} & X_2 \\ & \swarrow f_1 & & & \end{array}$$

In the same notation above, when we want to stress the dependence of the pullback from the maps  $q_{02}, q_{12}$ , the object is sometimes denoted as  $q_{02} \times q_{12}$  instead of  $X_0 \times_{X_2} X_1$ . This is not a real clash of notation, as it is possible to prove that  $q_{02} \times q_{12}$  is the product (in the sense of 4 above) of  $q_{02}, q_{12}$  regarded as objects of the slice category  $\mathcal{C}/X_2$ .

In the category of sets, the pullback  $X_0 \times_{X_2} X_1$  of a pair  $f_0, f_1$  can be easily characterised as the subset of  $X_0 \times X_1$  made by all pairs  $(x_0, x_1)$  such that  $f_0(x_0) = f_1(x_1)$ .

**Example 6 (Equaliser).** Let  $\mathcal{J}$  be the category  $0 \rightrightarrows 1$ , and  $\{X_0 \xrightarrow{u} X_1 \xrightarrow{v}\}$  the corresponding diagram  $X : \mathcal{J} \rightarrow \mathcal{C}$ ; the equaliser of the diagram  $X$ , denoted

$eq(u, v)$ , is the limit of  $X$ ; the universal property exhibited by the object is the following: there is an cone  $e : eq(u, v) \rightarrow X_0$  and for every other cone  $k : E \rightarrow X_0$  there is a unique dotted  $\bar{k} : E \rightarrow eq(u, v)$  such that

$$\begin{array}{ccc}
 eq(u, v) & \xrightarrow{e} & X_0 \begin{array}{c} \xrightarrow{u} \\ \xrightarrow{v} \end{array} X_1 \\
 \uparrow \bar{k} & \nearrow k & \\
 E & & 
 \end{array}$$

In the category of sets, the equaliser of a pair of maps  $u, v$  can be easily characterised as the subset of  $X_0$  made by all elements such that  $u(x) = v(x)$ ; it is ‘the largest subset of  $X_0$  where  $u = v$ ’.

### 1.5.1 Exercises

Exercises denoted with a star symbol are supposed to be difficult. Don’t be put off, and enjoy!

1. Give instances of functors for `(,)` a, `Maybe` and `Either` a. In the first and third case, the functoriality property is “parametric” in the type `a`: this means that for every choice of `a`, there are instances

```
data Pair a b = Pair a b deriving (Eq, Show)
```

```
instance Functor (Pair a) where
  fmap u (Pair a x) = _hole
```

```
data Aut a b = Aut a b deriving (Eq, Show)
```

```
instance Functor (Aut a) where
  fmap u (Aut a x) = _hole
```

Such that

```
(\x -> 7*x) <$> Pair 'a' 3
=> Pair 'a' 21
```

```
(\x -> 7*x) <$> Aut 'a' 3
=> Aut 'a' 21
```

The same proofs show that the corresponding maps `Set`  $\rightarrow$  `Set` are indeed functors! Isn’t it nice?

2. Show that there is a “tautological” functor  $i : \text{Set} \rightarrow \text{Cat}$  sending a set to itself, regarded as a discrete category. Given a set  $A$  and a category  $\mathcal{C}$ , show that there is a bijection between the set of functions  $\pi_0 \mathcal{C} \rightarrow A$  (every category can be regarded as a directed graph of some special kind, so it has a set of connected components) and the set of functors  $\mathcal{C} \rightarrow iA$ .

3. (★) Let  $F : \mathcal{C} \rightarrow \mathcal{Z}$  and  $G : \mathcal{D} \rightarrow \mathcal{Z}$  be two functors; define the *comma category* of  $F, G$  as the category whose

- objects are arrows in  $\mathcal{Z}$  of the form  $FC \xrightarrow{f} GD$  (more formally, an object is a tuple  $(C, D, f : \mathcal{Z}(FC, GD))$ );
- morphisms with source  $f : FC \rightarrow GD$  and target  $f' : FC' \rightarrow GD'$  are pairs  $u : C \rightarrow C', v : D \rightarrow D'$  such that the square

$$\begin{array}{ccc} FC & \xrightarrow{f} & GD \\ Fu \downarrow & & \downarrow Gv \\ FC' & \xrightarrow{f'} & GD' \end{array}$$

is commutative. Show that  $(F/G)$  is indeed a category. Show that  $(F/G)$  has the following universal property: there is a commutative square

$$\begin{array}{ccc} (F/G) & \xrightarrow{P} & \mathcal{Z}^{\rightarrow} \\ Q \downarrow & & \downarrow J \\ \mathcal{C} \times \mathcal{D} & \xrightarrow{F \times G} & \mathcal{Z} \times \mathcal{Z} \end{array}$$

and for every other commutative square

$$\begin{array}{ccc} \mathcal{X} & \xrightarrow{H} & \mathcal{Z}^{\rightarrow} \\ K \downarrow & & \downarrow J \\ \mathcal{C} \times \mathcal{D} & \xrightarrow{F \times G} & \mathcal{Z} \times \mathcal{Z} \end{array}$$

there is a unique functor  $\langle H, K \rangle : \mathcal{X} \rightarrow (F/G)$  such that  $P \circ \langle H, K \rangle = H$  and  $Q \circ \langle H, K \rangle = K$ . In other words,  $(F/G)$  is the *pullback* of  $J$  and  $F \times G$ .

4. (★) A morphism  $e : X \rightarrow X$  in a category  $\mathcal{C}$  is said to be *idempotent* if  $e \circ e = e$ . An object  $Y$  is said to be a *retract* of an object  $X$  if there exists a commutative diagram

$$\begin{array}{ccc} & Y & \\ i \nearrow & & \searrow r \\ X & \xlongequal{\quad} & X \end{array}$$

In this case we can identify  $Y$  with a subobject of  $X$  via the monomorphism  $i$  and think of  $r$  as a retraction from  $X$  onto  $Y \subseteq X$ . The map  $ir$  is an idempotent:  $irir = i(ri)r = ir$  by the identity axiom.

- this idempotent morphism determines  $Y$  uniquely (up to isomorphism): show that  $Y$  is isomorphic to the equalizer

$$\text{eq}(ir, 1) \longrightarrow X \xrightarrow[\text{1}_X]{ir} X$$

- Show that there exists a dual characterization:  $Y$  is also the coequalizer of the same pair of maps).

We say that a category  $\mathcal{C}$  is *idempotent complete* if every idempotent  $e : X \rightarrow X$  is of the form  $ir$  for some retract  $r : X \rightarrow Y$  of a  $Y \subseteq X$ ; is the category of finite sets idempotent complete?